

10/19/01

10/19/01

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for nonprovisional applications under 37 1.53(b))

Attorney Docket No.

35683.0new

First Inventor

Ryan et al..

Title

Nickel Blow Mold And Holder Defining, etc.

Express Mail Label No.

ET170405036US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages / 10 /]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 9 /]
5. ☒ Oath or Declaration [Total Pages / 2 /]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check for \$370.00; Associate Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME

Kevin D. McCarthy

Hodgson Russ LLP

ADDRESS

One M&amp;T Plaza, Suite 2000

CITY

Buffalo

STATE

New York

ZIP CODE

14203-2391

COUNTRY

United States of America

TELEPHONE

(716) 856-4000

FAX

(716) 849-0349

Name (Print/Type)

Kevin D. McCarthy

Registration No. (Attorney/Agent)

34,920

Signature

Date

October 19, 2001

"Express Mail" Mailing Label Number ET170405036US

Date of Deposit October 19, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Kathleen M. Ashton

Name

D2LB011.DOC

Signature

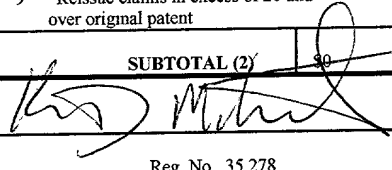
BFLODOCS: 609887 v1

**FEE TRANSMITTAL  
for FY 2002**

Patent Fees are subject to annual revision.

<b>FEE TRANSMITTAL for FY 2002</b>  <i>Patent Fees are subject to annual revision.</i>		Application Number					
		Filing Date		October 19, 2001			
		First Named Inventor		Michael J. Ryan et al.			
		Examiner Name					
		Group/Art Unit					
TOTAL AMOUNT OF PAYMENT		(\$) 370		Attorney Docket Number		35683.0new	

1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				3. ADDITIONAL FEES							
Deposit Account Number: <u>08-2442</u> Deposit Account Name: <u>Hodgson Russ LLP</u>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid		
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				105	130	205	65	Surcharge - late filing fee or oath	\$		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$		
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				139	130	139	130	Non-English specification	\$		
<b>FEE CALCULATION</b>				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$		
1. BASIC FILING FEE											
Large Entity Small Entity											
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid						
101	740	201	370	Utility filing fee	\$370	115	110	215	55	Extension for reply within first month	\$
106	330	206	165	Design filing fee	\$	116	400	216	200	Extension for reply within second month	\$
107	510	207	255	Plant filing fee	\$	117	920	217	460	Extension for reply within third month	\$
108	740	208	370	Reissue filing fee	\$	118	1,440	218	720	Extension for reply within fourth month	\$
114	160	214	80	Provisional filing fee	\$	128	1,960	228	980	Extension for reply within fifth month	\$
<b>SUBTOTAL (1)</b>				\$370		119	320	219	160	Notice of Appeal	\$
2. EXTRA CLAIM FEES											
Extra Fee from Claims below				Fee Paid							
Total Claims /16/-20** = 0 / x / 9/ =				\$0	121	280	221	140		Request for oral hearing	\$
Independent Claims /1/-3** = 0 / x / 42/ =				\$0	138	1,510	138	1,510		Petition to institute a public use proceeding	\$
Multiple dependent / / x / / =				\$	140	110	240	55		Petition to revive - unavoidable	\$
Large Entity Small Entity											
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description							
103	18	203	9	Claims in excess of 20		141	1,280	241	640	Petition to revive - unintentional	\$
102	84	202	42	Independent claims in excess of 3		142	1,280	242	640	10 advance copies	\$
104	280	204	140	Multiple dependent claim if not paid		143	460	243	230	Utility issue fee (or reissue)	\$
109	84	209	42	**Reissue independent claims over original patent		144	620	244	310	Design issue fee	\$
110	18	210	9	**Reissue claims in excess of 20 and over original patent		122	130	122	130	Plant issue fee	\$
<b>SUBTOTAL (2)</b>				\$0	123	50	123	50		Petitions to the Commissioner	\$
SIGNATURE: 					126	180	126	180		Processing fee under 37 CFR 1.17(q)	\$
Kevin D. McCarthy Reg. No. 35,278					146	740	246	370		Submission of Information Disclosure Statement	\$
DATE: October 19, 2001 Telephone: (716) 848-1622					149	740	249	370		Recording each patent assignment per property (times number of properties)	\$
					179	740	279	370		Filing a submission after final rejection (37 CFR 1.129(a))	\$
					169	900	169	900		For each add'l invention to be examined (37 CFR 1.129(b))	\$
										Request For Continued Examination (RCE)	\$
										Request for Expedited Examination of a design appln	\$
					<b>SUBTOTAL (3)</b>					\$0	

\*Reduced by basic filing fee paid

Express Mail Mailing Label Number ET170405036USDate of Deposit October 19, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Kathleen M. Ashton

Name

v1 D2L6011.DOC

Signature

October 19, 2001

Date of Signature

BFLODOCS: 609882